

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

UNITED STATES OF AMERICA, et al.,) CAUSE NO. C70-9213
v.)
Plaintiffs,) Subproceeding 14-01
STATE OF WASHINGTON, et al.,) ORDER ON CROSS-MOTIONS FOR
Defendants.) SUMMARY JUDGMENT

This matter comes before the Court on Cross-Motions for Summary Judgment by the Suquamish Indian Tribe (the “Suquamish”) (Dkt. # 37) and the Upper Skagit Indian Tribe (“Upper Skagit”) (Dkt. # 38), as well as the Upper Skagit’s Motion to Strike Exhibits (Dkt. # 58). Having considered briefs and supporting exhibits by the Suquamish, Upper Skagit, and interested parties, the record of this and related subproceedings, and oral arguments, the Court grants summary judgment in favor of the Upper Skagit for the reasons stated herein.

BACKGROUND

The Upper Skagit initiated this subproceeding by filing a Request for Determination (“RFD”) on January 16, 2015, seeking a determination that the usual and accustomed fishing grounds (“U&A”) for

1 the Suquamish Tribe do not include Samish Bay, Chuckanut Bay, and a portion of Padilla Bay (the
 2 “Disputed Areas”), where the Upper Skagit has its own Court-approved U&A.¹ Dkt. # 1; Dkt. # 4, p. 1
 3 & Ex. A. All parties agree that the issues in dispute are to be resolved under Paragraph 25(a)(1) of the
 4 Permanent Injunction set forth in Final Decision # 1, *U.S. v. Washington*, 384 F.Supp. 312, 419 (W.D.
 5 Wash. 1974), as modified by *U.S. v. Washington*, Case No. C70-9213, Dkt. # 13599 (W. D. Wash.
 6 August. 23, 1993). Pursuant to this jurisdictional provision, the Court determines whether the
 7 Suquamish’s current or intended tribal fisheries conform to Judge George Boldt’s U&A determination
 8 for the tribe. In the language at the heart of this dispute, Judge Boldt described the Suquamish U&A as
 9 follows:

10 The marine waters of Puget Sound from the northern tip of Vashon Island to the Fraser
 11 River including Haro and Rosario Straits, the streams draining into the western side of
 12 this portion of Puget Sound and also Hood Canal.

13 *U.S. v. Washington*, 459 F.Supp. 1020, 1049 (W.D. Wash. 1975) (Finding of Fact (“FF”) 4). The instant
 14 proceeding is the third in a series of subproceedings through which this Court has been asked to
 15 determine the scope of the Suquamish U&A by examining evidence in the record before Judge Boldt in
 16 the spring of 1975.²

17 **1) Judge Boldt’s 1975 Suquamish U&A Determination**

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 19 ¹ According to the Upper Skagit, the Disputed Areas are more fully described as MFSF Catch Reporting Areas 21B and 22B: “Those waters, tideland and bedlands easterly of a line drawn from Clark Point to Governor Point across the mouth of Chuckanut Bay, and those waters, tidelands, and bedlands easterly of a line on the shore directly north of Whiskey Rock, thence southwesterly across Samish Bay to Point Williams, said line being the current line marking the boundary between Washington State Salmon Catch Reporting Areas 7B and 7C, thence westerly and southerly around Samish Island until a line can be drawn southerly to the westernmost point on Hat Island in Padilla Bay, thence westerly and southerly around Hat Island at extreme high tide until a line can be drawn southerly and easterly to a point on the shore of the mainland approximately one mile east of the eastern most point of the eastern mouth of the slough draining from Whitney to Padilla Bay, which point is almost directly south of Bay View...including all of the streams, beds and banks draining into these salt water areas.” *See Dkt. # 38*, pp. 1-2.

20 ² Suquamish claims to additional U&A in the freshwater bodies to the east of the Puget Sound were also considered, and
 21 rejected, in Subproceeding 85-1. *See U.S. v. Suquamish Indian Tribe*, 901 F.2d 772 (9th Cir. 1990); Dkt. # 38-2 (Order by
 22 Judge Coyle of February 25, 1989, rejecting Suquamish claim to the status of political successor-in-interest to the treaty-time
 23 Duwamish Tribe).

1 As the Suquamish was not a party to the original *U.S. v. Washington* decision in February 1974,
 2 Judge Boldt did not specifically determine the Suquamish U&A in Final Decision # 1. Instead, the
 3 question of the Suquamish U&A arose when, along with several other tribes, the Suquamish filed a
 4 request for determination in March 1975 of its right to engage in a non-anadromous herring fishery. As
 5 evidence in support of its request, the Suquamish filed three reports by anthropologist Dr. Barbara Lane
 6 describing the tribe's treaty-time fisheries.³ Dkt. # 16 (Answer to Upper Skagit RFD by the Suquamish
 7 Tribe), Ex. A.

8 On March 28, 1975, Judge Boldt determined that the Suquamish, along with six other tribes, had
 9 made a "prima facie showing[] of treaty entitlement" to participate in the herring fishery. Dkt. # 16 at Ex
 10 B, ¶ 3. He simultaneously noted that the Suquamish as well as the Swinomish Indian Tribal Community,
 11 for whom no U&A had thus far been determined by the Court, would be entitled to conduct herring
 12 fisheries at their claimed U&A's subject to the State's authority to contest any location. *Id.* The Court
 13 set a hearing for April 9, 1975 to receive anthropological and biological evidence regarding
 14 determinations announced in its Order. *Id.* at ¶ 6.

15 As directed by Judge Boldt, the Suquamish filed its proposed regulations for its herring fisheries
 16 on April 2, 1975, along with a map identifying the location of its claimed U&A. Dkt. # 16, Ex. C. This
 17 "claim map" was broken down into four zones roughly covering: (1) the Strait of Juan de Fuca through
 18 the San Juan Islands ("Area 1"), (2) the area north of the San Juan Islands to the Canadian border ("Area
 19 2"), (3) the area east of Lummi Island, including Bellingham Bay and including the Disputed Areas
 20 ("Area 3"), and (4) the area stretching roughly southeast of the San Juans through Hood Canal and into
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27 ³ These reports include: "The Indian Herring Fishery from the Earliest to Mid-Nineteenth Century," a supplemental report
 28 regarding Indian herring and herring roe fisheries, and an anthropological report entitled "Identity, Treaty Status and
 Fisheries of the Suquamish Tribe of the Port Madison Reservation." Dkt. # 16, Ex.'s D-F.

1 the southern Puget Sound (“Area 4”). *See id.* at p. 3. These wide-ranging zones constituted the entirety
 2 of the Suquamish U&A claim.

3 Judge Boldt presided over hearings from April 9-11, 1975, during which the Court received
 4 evidence regarding its prior March 28th *prima facie* determinations. On the first day of the hearings,
 5 Alan Stay, counsel for the Suquamish, Nooksack, and Nisqually Tribes, called Dr. Lane to the stand to
 6 put on evidence for the Nooksack Tribe. As Mr. Stay concluded his questioning, counsel for the State,
 7 Paul Solomon, indicated that the State wished to cross-examine Dr. Lane regarding the northern portions
 8 of the U&A claimed by the Suquamish on its map. He asserted that the State has “some objections to
 9 note or inquiry to make as to the findings, possible inference, from the report that the [U&A] of the
 10 Suquamish Tribe reach as far north as they have claimed in their regulations that they filed with the
 11 Court.” Dkt. # 37, Ex. A (“Tr.”), at pp. 40-41. Mr. Stay responded by asserting that the State had filed
 12 no prior objections to the Suquamish claims and that he was unprepared to elicit evidence to support
 13 them.

14 The Court acknowledged the difficulty engendered by the need to make U&A determinations on
 15 an expedited basis, prior to the impending start of the herring fishery. Tr. at pp. 43:4-45:13. It
 16 nonetheless instructed Mr. Stay and Mr. Solomon to put evidence into the record in support of or in
 17 opposition to Suquamish claims through examination of Dr. Lane, with argumentation about the
 18 evidence to be made the following day. *Id.* at p. 45:11-21. Mr. Stay proceeded to examine Dr. Lane on
 19 the Suquamish’s treaty-time fisheries in the northern Puget Sound, which formed part of Areas 1 and 2
 20 on the Suquamish claim map, followed by cross-examination by Mr. Solomon. *Id.* at pp. 48-61. No
 21 testimony regarding Areas 3 or 4 was elicited, although Dr. Lane did testify to the Suquamish’s travel
 22 from its home near Port Madison up north as far as the Fraser River, where the tribe had traditional ties
 23 through marriage. *Id.* at pp. 58 - 59. Later in the hearing in response to a question about Lummi
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1 customary practices, Dr. Lane testified that the Suquamish had “herring places closer to their own place,
 2 where they lived.” *Id.* at 84:10-11. Just as the Lummi would not take herring from Suquamish territory,
 3 Dr. Lane opined that “[i]n the same way Suquamish would not go all the way over into Bellingham Bay
 4 in order to get the herring that were spawning rights inside where the Lummi lived because they had
 5 their places.” *Id.* at 84:10-15.

7 The following day, April 10, 1975, Judge Boldt began by requesting his law clerk to summarize
 8 for the record the matters discussed during the prior day’s hearing. The law clerk described the
 9 discussion as follows: “The question of the usual and accustomed fishing locations for the Suquamish
 10 Tribe as to the northern areas that were called one and two yesterday, Mr. Stay’s objections to those; and
 11 then the question of possible exclusive fishing rights of the Lummi Tribe vis-à-vis the Swimonish Tribe
 12 as to the Hale Passage locations.” Dkt. # 37, Ex. B at p. 4:6-14. Later in the hearing, Judge Boldt ruled
 13 from the bench that “a *prima facie* showing has been made that travel and fishing of the Suquamish
 14 Tribe through the northern Sound areas; that is, areas one and two as designated by the state, was
 15 frequent and also regular, not merely occasional, and the application of the Suquamish for such a ruling
 16 is granted.” *Id.* at pp. 51:22-52:3. The Court did not address Suquamish U&A as to any other portions of
 17 its claim map.

20 On April 18, 1975, the Court issued a written order, upon consideration of the “pleadings,
 21 testimony, evidence, memoranda and oral arguments,” in which it found that the “usual and accustomed
 22 fishing places of the Suquamish Tribe include the marine waters of Puget Sound from the northern tip of
 23 Vashon Island to the Fraser River including Haro and Rosario Straits, the streams draining into the
 24 western side of this portion of Puget Sound and also Hood Canal.” *U.S. v. Washington*, 459 F.Supp.
 25 1020, 1049 (W.D. Wash. 1975) (FF 4). The Court also acknowledged that the Lummi, Nooksack, and
 26 Suquamish Tribes had entered into an agreement over shared use of Hale Passage (which lies between
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1 Bellingham Bay and Lummi Island), although it recognized that the Lummi had “exercised and was
 2 acknowledged by many others to have primary control as regards fishing or other resource gathering and
 3 occupancy” in that area. *Id.* at FF 7. Judge Boldt indicated that these findings of fact were made on the
 4 basis of a *prima facie* showing and would be subject to reconsideration upon a full evidentiary hearing if
 5 requested by a party on or before May 19, 1975. *Id.* at ¶ 8. Absent a request for reconsideration, the
 6 determinations would become final. *Id.* No such request for reconsideration was lodged.

8 **2) Subproceeding 05-03**

9 The Suquamish U&A delineated by Judge Boldt was first challenged in a Request for
 10 Determination brought by the Upper Skagit in Subproceeding 05-03. The Upper Skagit sought a
 11 determination that certain waters on the eastern side of Whidbey Island, known as Saratoga Passage and
 12 Skagit Bay, are not within the Suquamish Tribe’s U&A. Applying the “*Muckleshoot* two-step”
 13 procedure, described below, this Court determined that while the term “the marine waters of Puget
 14 Sound” as used by Judge Boldt unambiguously included the waters of Saratoga Passage and Skagit Bay,
 15 based on the actual evidence before him, Judge Boldt must have intended not to include these disputed
 16 areas within the Suquamish U&A. *U.S. v. Washington*, 2007 WL 30869 (W.D. Wash. 2007). The Court
 17 noted that nothing in the record showed the Suquamish fished on the east side of Whidbey Island or
 18 traveled through there on their way to the San Juan Islands and the Fraser River area. *Id.* at **7-10.
 19 Accordingly, the Court found that the Upper Skagit had met its burden to show that Judge Boldt did not
 20 intend to include the disputed waters in the Suquamish U&A and further found that the Suquamish
 21 failed to meet its burden to point to some evidence in the record demonstrating otherwise. The Ninth
 22 Circuit affirmed. *Upper Skagit Indian Tribe v. Washington*, 590 F.3d 1029 (9th Cir. 2010).

23 **3) Subproceeding 05-04**

1 Next, in Subproceeding 05-04, the Tulalip Tribe moved the Court to find that certain inland
2 marine waters on the east side of Admiralty Inlet but west of Whidbey Island (including Admiralty Bay,
3 Mutiny Bay, Useless Bay, and Cultus Bay), as well as Saratoga Passage, Penn Cove, Holmes Harbor,
4 Possession Sound, Port Susan, Tulalip Bay, and Port Gardner, do not lie within the Suquamish U&A as
5 determined by Judge Boldt. In addressing dispositive motions, the Court declined to apply res judicata,
6 judicial estoppel, and laches to bar the Tulalip's RFD, as requested by the Suquamish. Subproceeding
7 No. 05-04, Dkt. # 242 (W.D. Wash. July 29, 2012). On the merits, the Court determined that the same
8 analysis undertaken in Subproceeding 05-03 applied to exclude Penn Cove and Holmes Harbor from the
9 claimed Suquamish U&A. *Id.* at p. 12. Applying the *Muckleshoot* framework and citing to Dr. Lane's
10 report on Suquamish fisheries, the Court also found that there was evidence before Judge Boldt in 1975
11 to support a finding that the Suquamish fished in Admiralty Inlet, traveling as far as the western shores
12 of Whidbey Island to do so, and that the mouth of the Snohomish River was an important Suquamish
13 fishery. From this evidence, the Court found it "highly likely" that Judge Boldt intended to include in
14 the Suquamish U&A the bays on the west side of Whidbey Island (Admiralty, Mutiny, Useless, and
15 Cultus Bays), along with Possession Sound and Port Gardner Bay. *Id.* at p. 19. The Court's
16 determinations therein are currently on appeal.
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20 4) Instant Dispositive Motions

21 Through its Motion for Summary Judgment, the Upper Skagit, with support from several
22 interested parties, moves the Court to apply the standards through which it rejected Suquamish claims in
23 Subproceedings 05-03 and 05-04 in order to determine that the Suquamish's U&A does not include the
24 Disputed Areas at issue here. Specifically, the Upper Skagit point out that no testimony regarding Area 3
25 on the Suquamish claim map was elicited at the April 9, 1975 hearing, and Judge Boldt's findings the
26 following day made no reference to Suquamish U&A outside Areas 1 and 2 in the northern Puget
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1 Sound. In addition to contending that no record evidence before Judge Boldt in 1975 supports the
 2 Suquamish's claim to U&A in the Disputed Areas, the Upper Skagit argue that Suquamish should be
 3 barred from even asserting its claim by the doctrines of res judicata and collateral estoppel. *See* Dkt. #
 4 38.
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6 The Suquamish takes a different view of the role of the claim map in the proceedings leading up
 7 to the establishment of its U&A. According to the Suquamish, the claim map itself formed part of the
 8 record evidence that was before Judge Boldt in making the Suquamish U&A determination, along with
 9 the reports and testimony by Dr. Lane. The Suquamish further assert that by declining to challenge
 10 Areas 3 or 4 on the claim map, the State and the tribes implicitly conceded their place in the U&A. In
 11 support of this view, the Suquamish assert that the proceedings through which its U&A was determined
 12 were unique, taking place in an expedited fashion without a full evidentiary hearing and leading to a
 13 *prima facie* determination that became binding when no objection was lodged. According to the
 14 Suquamish, the Upper Skagit's RFD is in essence a request to reconsider Judge Boldt's *prima facie*
 15 finding of a broad Suquamish U&A, albeit one filed nearly forty years too late. The Suquamish also
 16 introduces evidence through its reply brief of Suquamish regulations covering fishing in and near the
 17 disputed waters since 1975 (Dkt. # 56 at Ex.'s 2-41), which the Upper Skagit moves to strike from the
 18 record. Dkt. # 58.
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20 This Order resolves all three pending Motions.
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22 **LEGAL STANDARD**

23 Summary judgment is appropriate where "the movant shows that there is no genuine dispute as
 24 to any material fact and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a);
 25 *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 247 (1986). A material fact is one that "might affect the
 26 outcome of the suit under governing law," and an issue is genuine when "the evidence is such that a
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1 reasonable jury could return a verdict for the nonmoving party.” *Anderson*, 477 U.S. at 248. On
 2 summary judgment, the role of the court is not to weigh evidence to determine the truth of the matter,
 3 but rather to “determine whether there is a genuine issue for trial.” *Crane v. Conoco, Inc.*, 41 F.3d 547,
 4 549 (9th Cir. 1994). In doing so, the court views all inferences to be drawn from the underlying facts in
 5 the light most favorable to the party opposing the motion. *Matsushita Elec. Indus. Co. v. Zenith Radio*
 6 *Corp.*, 475 U.S. 574, 587 (1986).

8 The moving party bears the initial burden of production and the ultimate burden of persuasion.
 9 *Nissan Fire & Marine Ins. Co., Ltd. v. Fritz Companies, Inc.*, 210 F.3d 1099, 1102 (9th Cir. 2000). The
 10 moving party must initially identify those portions of the record that demonstrate the absence of a
 11 genuine issue of material fact. *Celotex Corp. v. Catrett*, 477 U.S. 317, 322 (1986). The burden then
 12 shifts to the non-moving party to establish a genuine issue for trial by pointing to specific evidence,
 13 along with its location in the record. *Orr v. Bank of Am., NT & SA*, 285 F.3d 764, 774-75 (9th Cir.
 14 2002); *Nissan Fire*, 969 F.2d at 1103. The moving party is entitled to judgment as a matter of law where
 15 “the nonmoving party has failed to make a sufficient showing on an essential element of her case with
 16 respect to which she has the burden of proof” at trial. *Celotex*, 477 U.S. at 322.

19 DISCUSSION

20 I. Claim and Issue Preclusion

21 As an initial matter, the Court considers application of the equitable doctrines of res judicata and
 22 collateral estoppel, or claim and issue preclusion, raised by the Upper Skagit’s Motion. The Upper
 23 Skagit refers to Subproceeding 05-03 as the basis for its offensive assertion of these doctrines.
 24 According to the Upper Skagit, Subproceeding 05-03 involved adjudication of the same issues at play in
 25 this subproceeding, and the Court’s prior rejection of Suquamish claims to U&A in the eastern Puget
 26 Sound should preclude its claims to U&A in the Disputed Areas at issue here. On response, the
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1 Suquamish contends that these equitable doctrines should apply in the reverse, to bar the Upper Skagit
 2 from bringing claims that it could have brought in Subproceeding 05-03. The Court declines both
 3 invitations.

4 Claim and issue preclusion, which define the preclusive effect of a prior final judgment, are
 5 equitable doctrines employed to achieve finality, conserve judicial resources, and minimize the vexation
 6 and expense of successive litigation arising out of the same underlying events. *Taylor v. Sturgell*, 553
 7 U.S. 880, 892 (2008) (internal citations omitted). Claim preclusion “refers to the effect of a prior
 8 judgment in foreclosing successive litigation of the very same claim, whether or not relitigation of the
 9 claim raises the same issues as the earlier suit.” *New Hampshire v. Maine*, 532 U.S. 742, 748 (2001).
 10 Issue preclusion analogously functions to “foreclos[e] successive litigation of an issue of fact or law
 11 actually litigated and resolved in a valid court determination essential to the prior judgment, whether or
 12 not the issue arises on the same or a different claim.” *Id.* at 748-49. Application of both doctrines
 13 depends on the party against whom they are asserted having had a “full and fair opportunity to litigate”
 14 the claims or issues settled in the prior suit. *Taylor*, 553 U.S. at 892.

15 The shortcoming in both parties’ attempted applications of these doctrines is that the inclusion of
 16 the Disputed Areas in the Suquamish U&A has never been previously litigated, whether in a *U.S. v.*
 17 *Washington* subproceeding or in any related matter. As this Court explained in declining to apply res
 18 judicata in Subproceeding 05-03, the Suquamish U&A was actually determined in 1975 by Judge Boldt,
 19 not through any subsequent Paragraph 25(a)(1) subproceeding. *See* Subproceeding 05-03, Dkt. # 242 at
 20 p. 10. The Court’s role now is not to alter or amend Judge Boldt’s determination but to clarify it with
 21 respect to the disputed areas at issue. *See id.* It would be inequitable to preclude either party from
 22 litigating the inclusion of the three bays at issue in this subproceeding, when the Court was never
 23 previously asked to and never did make factual determinations on their place in the Suquamish U&A as
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1 described by Judge Boldt. Paragraph 25(a)(1) jurisdiction contemplates successive lawsuits aimed at
 2 clarifying different portions of a tribe's U&A when a party's intended or effected actions raise the need
 3 for such clarification. While it would certainly be preferable to resolve the contours of a tribe's U&A as
 4 determined by Judge Boldt at once, in order to facilitate finality and achieve repose (as urged by counsel
 5 at oral argument), the Court's clarifications in any one subproceeding are necessarily limited to the
 6 issues raised in the request before it and should not be read to sweep more broadly.

8 For these reasons, the Upper Skagit's motion for summary judgment on the basis of res judicata
 9 and collateral estoppel shall be denied, and the Court proceeds to the merits of the Upper Skagit's claims
 10 asserted in its RFD.

12 **II. Application of Muckleshoot Two-Step Framework**

13 In an action to determine Judge Boldt's intent in delineating a tribal U&A, the Court applies the
 14 *Muckleshoot* two-step procedure established in *Muckleshoot Tribe v. Lummi Indian Tribe*, 141 F.3d
 15 1355 (9th Cir. 1998) ("*Muckleshoot I*"), *Muckleshoot Tribe v. Lummi Indian Nation*, 234 F.3d 1099 (9th
 16 Cir. 2000) ("*Muckleshoot II*"), and *United States v. Muckleshoot Indian Tribe*, 235 F.3d 429 (9th Cir.
 17 2000). *See U.S. v. Washington*, 2007 WL 30869 at *1 (W.D. Wash. 2009); Subproceeding 05-04, Dkt. #
 18 242 at pp. 7, 13. Under the first *Muckleshoot* step, the Upper Skagit, as the requesting party, bears the
 19 initial burden to show that a term used by Judge Boldt is ambiguous. If ambiguity is not demonstrated,
 20 the requesting party must show that Judge Boldt "intended something other than [the term's] apparent
 21 meaning." *Muckleshoot I*, 141 F.3d at 1359. The evidence that is relevant to discerning Judge Boldt's
 22 intent comprises "the entire record before the issuing court and the findings of fact [which] may be
 23 referenced in determining what was decided." *U.S. v. Washington*, 2007 WL 30869 at *2 (quoting
 24 *Muckleshoot I*, 141 F.3d at 1359).

1 Here, the parties are in agreement as to the first *Muckleshoot* step. In Subproceeding 05-03, this
2 Court engaged in an extensive examination of the relevant evidence and determined that the term “Puget
3 Sound” as used by Judge Boldt unambiguously encompassed “all the saltwater areas inward from the
4 entrance to the Strait of Juan de Fuca,” including the waters of Saratoga Passage and Skagit Bay. 2007
5 WL 30869 at ** 3-4. The Court applied this determination in Subproceeding 05-04, finding the first
6 *Muckleshoot* step to have been completed and proceeding immediately to the second *Muckleshoot* step.
7 Subproceeding 05-04, Dkt. # 242 at p. 13. The Court agrees with the parties that these prior
8 determinations apply in this subproceeding as well, and that the term “Puget Sound” as used by Judge
9 Boldt in describing the Suquamish U&A unambiguously encompasses the Disputed Areas at issue here.
10 Accordingly, the Court proceeds directly to the second *Muckleshoot* step and looks to evidence in the
11 record to discern Judge Boldt’s intent.

12 Under the second *Muckleshoot* step, the burden is on the Upper Skagit to show that “there was
13 no evidence before Judge Boldt that the Suquamish fished [in the Disputed Areas] or traveled there in
14 route to the San Juans and the Fraser River area.” *Upper Skagit*, 590 F.3d at 1023. The Court’s
15 determination is to be based on the record before Judge Boldt as of April 18, 1975, when he established
16 the Suquamish U&A, as well as “additional evidence if it sheds light on the understanding that Judge
17 Boldt had of the geography at that time.” *Id.* at 1024-25 (quoting *Muckleshoot II*, 234 F.3d at 1100
18 (distinguishing latter-day interpretation of evidence before Judge Boldt, on which the district court may
19 not rely, from evidence of geographic understandings in Judge Boldt’s day)). The Court accordingly
20 begins by examining each category of evidence in the record before Judge Boldt when he established the
21 Suquamish U&A.

22 **1) Reports by Dr. Lane**

1 This Court has oft noted that Judge Boldt relied heavily on reports and testimony of
 2 anthropologist Dr. Barbara Lane in determining tribal U&As. *See U.S. v. Washington*, 2007 WL 30869
 3 at *7; 590 F.3d at 1025. In her report on “Identity, Treaty Status and Fisheries of the Suquamish Tribe of
 4 the Port Madison Reservation,” admitted as an exhibit at the April 9, 1975 hearing, Dr. Lane described
 5 the Suquamish, like their neighbors, as having “relied primarily on salmon for their staples.” *See* Dkt. #
 6 46, Ex. A at p. 11. While she noted that the Suquamish was able to procure fresh fish on a year-round
 7 basis in the salt waters immediately adjacent to their home on the Kitsap Peninsula, *id.* at p. 12, she
 8 reported that the tribe traveled widely by canoe, making use of areas as far north as Fort Langley on the
 9 Fraser River, *id.* at p. 46. Dr. Lane’s report proceeded to delineate the waters between these far-ranging
 10 zones that were frequented by the Suquamish in its harvest of marine resources.

13 Although Dr. Lane characterized it as an impossible task to “present a complete account of
 14 Suquamish fisheries as of 1855,” *id.* at p. 19, she provided an extensive list of specific geographic
 15 locations where the historical and anthropological evidence documented known customary, treaty-time
 16 Suquamish fisheries. Among locations where Suquamish took fish by trolling, clubbing, and spearing,
 17 Dr. Lane listed: Apple Cove Point, Hood Canal, Dye’s Inlet, Liberty Bay, the head of Sinclair Inlet,
 18 Skunk Bay at the northern tip of Kitsap Peninsula, Ross Creek, Chico Creek, Blackjack Creek, Union
 19 River, Curley Creek, Blake Island, Jefferson Head, Point No Point, Rich’s Passage between the southern
 20 end of Bainbridge Island and the peninsula, Indianola, Port Orchard, Ross Point, University Point, and
 21 Miller’s Bay. *Id.* at 19-20. To this collection of locales, Dr. Lane added several others as places of
 22 traditional Suquamish shellfish harvest, including Agate Passage and the area between Chico and
 23 Erland’s Point. *Id.* at p. 20.

26 In addition to these specific sites, Dr. Lane included more general geographic markers of
 27 Suquamish treaty-time fishing, including at “the mouths of the Duwamish and Snohomish rivers” and
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1 adjacent marine areas,” *id.* at pp. 12 & 15, “rivers on the east side of Puget Sound” for fall and winter
 2 salmon supplies, *id.* at p. 15, and Whidbey and Bainbridge Islands, *id.* at p. 16. She further described the
 3 general course of their travel and attendant fishing throughout wider marine areas, stating:
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5 In my opinion, the evidence that the Suquamish travelled to the Fraser river in pre-treaty
 6 times documents their capability to travel widely over the marine waters in what are now
 7 known as the Strait of Juan de Fuca and Haro and Rosario Straits. According to oral
 8 tradition, the Suquamish regularly travelled through the San Juan Islands and to the
 9 Fraser River.... It is my opinion that the Suquamish undoubtedly would have fished the
 marine waters along the way as they travelled. It is likely that one of the reasons for
 travel was to harvest fish. The Suquamish travelled to Whidbey Island to fish and
 undoubtedly used other marine waters as well.”

10 *Id.* at p. 16. Dr. Lane concluded by providing a list of Suquamish place names along the eastern side of
 11 Kitsap Peninsula and around Bainbridge Island. *Id.* at pp. 47-54.

12 Missing from Dr. Lane’s report is any reference to Area 3 on the Suquamish claim map, or to the
 13 Disputed Areas in particular. Nearly all of the specifically denoted locations and place names are
 14 situated on the western side of the Puget Sound, nowhere near Bellingham Bay and the adjacent
 15 Disputed Areas. The only locations on the east side of the Puget Sound specifically identified in Dr.
 16 Lane’s report are the mouths of the Duwamish and the Snohomish Rivers, which are located
 17 significantly south of Area 3.

18 The Suquamish nonetheless asserts that Dr. Lane’s reference to Suquamish fishing in the course
 19 of travel toward Fraser River would have placed their route through the Disputed Areas. A similar such
 20 argument was considered, and rejected, by this Court in Subproceeding 05-03. This Court noted that
 21 while Dr. Lane “did testify that the Suquamish traveled up to the Fraser River, her reference to the Strait
 22 of Juan de Fuca, Haro and Rosario Strait places their route on the west side of Whidbey Island, from the
 23 Port Madison area and up through the San Juan Islands.” 2007 WL 30869 at *9. These geographic
 24 anchors place the routes of Suquamish travel significantly west of the disputed waters at issue here. The
 25 easternmost of the denoted travel pathways, Rosario Strait, is bounded on the east by Whidbey, Fidalgo,
 26

1 Cypress, Sinclair, and Lummi Islands. These islands separate the path of Suquamish travel from the
2 protected waters of the Disputed Areas. In order to access Chuckanut, Samish, and Padilla Bays, the
3 Suquamish would have had to depart Rosario Strait, navigating due east around these islands for at least
4 eight miles from the nearest geographic anchor point. Yet nothing in Dr. Lane's report, whether by way
5 of place names or specific or general geographic descriptors, suggests that the Suquamish customarily
6 undertook this substantial detour.

8 **2) Testimony in April 1975 Hearings**

9 The Court also considers the testimony of Dr. Lane at the hearing on April 9, 1975 as evidence
10 relevant to discerning Judge Boldt's intent. As detailed above, Dr. Lane's testimony on Suquamish
11 U&A, as elicited by counsel for both the Suquamish and the State, focused exclusively on marine
12 fisheries in the northern Puget Sound, from the San Juan Islands to the Fraser River, in Areas 1 and 2.
13 *See* Tr. at p. 52 (eliciting testimony on Suquamish fishing in the San Juans Islands "and that area off of
14 Birch Bay on the way up to the Fraser River"). Dr. Lane opined in response to a question from the State
15 about Suquamish fishing in these zones that "it's entirely likely that [the Suquamish] fished for whatever
16 was available as they traveled through those waters and that they visited those waters regularly as a
17 usual and accustomed matter in order to fish and to do other things." *Id.* This testimony placed the routes
18 of Suquamish travel and fishing both north and west of the Disputed Areas. While she acknowledged
19 Suquamish travel from the tribe's home on the Kitsap Peninsula to visit people in the Fraser River area,
20 with whom the Suquamish shared marital ties, she provided no geographic anchors for the path of
21 Suquamish travel other than through the San Juan Islands in Areas 1 and 2. The absence of testimonial
22 evidence pertaining to Area 3 was underscored at the April 10, 1975 proceeding, during which the law
23 clerk's summary of the prior day's testimony and Judge Boldt's oral ruling on Suquamish fisheries
24 referenced Suquamish fishing only in Areas 1 and 2. *See* Dkt. # 37, Ex. B at pp. 4, 51-52.
25
26
27
28

1 Further, Dr. Lane's sole testimonial reference to Area 3 in relation to the Suquamish functioned
 2 to exclude the tribe from waters adjacent to those at issue in this subproceeding. Dr. Lane's opinion that
 3 the Suquamish "would not go all the way over into Bellingham Bay in order to get the herring" carved
 4 out waters immediately adjacent to the Disputed Areas from Suquamish U&A. *See Tr.* at 84:10-15.
 5 These are waters through which the Suquamish would have been required to pass in order to access
 6 much of the disputed territory.

8 Although the Suquamish is correct that Dr. Lane need not have mentioned specific marine waters
 9 by name, there must still be some evidence in the record before Judge Boldt indicating his intent to
 10 include them within a tribe's U&A. The evidence in this case points only to the opposite conclusion.
 11

12 **3) Judge Boldt's Description of Suquamish U&A**

13 The Court looks now to the language of Judge Boldt's description of the Suquamish U&A in his
 14 written April 18, 1975 Order. The Ninth Circuit noted in the appeal of Subproceeding 05-03 that Judge
 15 Boldt tended to use "geographic anchor points" in describing a tribe's U&A. *Upper Skagit*, 590 F.3d at
 16 1025. In Judge Boldt's description of the Suquamish U&A, "the only inclusive geographic anchor points
 17 for the term 'Puget Sound' are the 'Haro and Rosario Straits.'" *Id.* Just as these anchor points do not
 18 "include or delineate" Skagit Bay and Saratoga Passage, *id.*, they neither include nor delineate
 19 Chuckanut, Samish, and Padilla Bays. The Ninth Circuit has indicated that the fact that Judge Boldt
 20 neglected to mention Skagit Bay and Saratoga Passage in delineating the Suquamish U&A "supports
 21 [the] conclusion that he did not intend for them to be included." *Id.* This analysis applies with equal
 22 force here.

25 Contrary to the Suquamish's assertion, Judge Boldt's reference to the tribe's use in the 1970s of
 26 Hale Passage does not indicate that he intended to include this passage, or the disputed waters to the
 27 east, in the Suquamish U&A. Rather, Judge Boldt expressly found that the Lummi Tribe exercised
 28

1 primary control over the passage, indicating that the Suquamish current day use of it was pursuant to
 2 agreement rather than to historic right. *See U.S. v. Washington*, 459 F.Supp. 1020, 1049 (W.D. Wash.
 3 1975) (FF 7). Accordingly, Judge Boldt's written findings fail to support the Suquamish claims to U&A
 4 in the Disputed Areas.
 5

6 **4) Claim Map and Fishing Regulations**

7 Finally, the Court finds the Suquamish's entreaty to expand the scope of evidence upon which
 8 the Court bases its U&A clarification to be misguided. The Suquamish's argument that Judge Boldt
 9 intended everything on the Suquamish's claim map to be included within the U&A runs contrary to the
 10 law of this case. This Court, in Subproceedings 05-03 and 05-04, has explicitly excluded waters within
 11 Area 4 from Suquamish U&A, basing its determination on a review of evidence encapsulated in Dr.
 12 Lane's report and testimony rather than the tribe's claim map. Its decision to do so in Subproceeding 05-
 13 03 has already been affirmed on appeal. *See Upper Skagit*, 590 F.3d 1020. The Court cannot now apply
 14 a different standard in assessing Area 3.⁴
 15

16 The Suquamish's invitation to the Court to examine an expanded evidentiary record also runs
 17 contrary to the nature of a Paragraph 25(a)(1) proceeding. The Suquamish claim map represents just that
 18 – a delineation of the areas that the Suquamish *claimed* it fished at treaty-time and where it sought to
 19 fish during the 1975 herring season. Evidence in the form of a tribe's claim to fishing rights, including
 20 claims encapsulated in fishing regulations and contemporary fishing practices, is not probative of Judge
 21 Boldt's intent in delineating treaty-time fishing grounds and therefore is not evidence that the Court may
 22
 23

24
 25
 26 ⁴ Similarly, the law of the case as well as record evidence in the form of Judge Boldt's written description of the Suquamish
 27 U&A and the Dr. Lane's report undercut the Upper Skagit's argument that the Suquamish U&A should be limited to Areas 1
 28 and 2. As conceded by counsel at oral argument, the reference to Vashon Island in Judge Boldt's description clearly places
 Suquamish U&A in part of Area 4, as does Dr. Lane's listing of geographic markers of Suquamish fishing in the southwest
 Puget Sound. In Subproceeding 05-04, this Court found evidence before Judge Boldt sufficient to show that he intended to
 include portions of Area 4 in Suquamish U&A. Still, none of these geographic anchor points comes close to placing
 Suquamish U&A in the disputed portions of Area 3.

properly consider in a Paragraph 25(a)(1) proceeding.⁵ *See Upper Skagit*, 590 F.3d at 1026 (finding no error in this Court's reference to Suquamish fishing regulations "as an aside to the Suquamish's understanding of its own U&A – not as evidence bearing on Judge Boldt's intent in determining that U&A."). In contrast to the sweeping delineations in the April 2nd claim map, Judge Boldt considered a narrower and more precise set of descriptions in the form of Dr. Lane's testimony and reports in determining the Suquamish U&A. It is this evidence – that relied on by Judge Boldt and probative of his intent – that the Court examines under Paragraph 25(a)(1).

For these reasons, the Suquamish's argument that the Upper Skagit's RFD constitutes an untimely motion for reconsideration must also fail. The Suquamish is correct that Judge Boldt's *prima facie* determinations of April 18, 1975 became final when no party lodged an objection to them. The Upper Skagit cannot now contest Judge Boldt's U&A determination by, for instance, introducing new evidence of Suquamish treaty-time fishing practices or seeking to impeach Dr. Lane's testimony. At the same time, Paragraph 25(a)(1) provides an avenue for the Upper Skagit to seek to clarify Judge Boldt's intent, as it could with respect to any other tribe's U&A. And in discerning Judge Boldt's intent, this Court looks to the evidence underlying his decision, not the capacious U&A claims made by the tribe.

For all of these reasons, the Court concludes that Judge Boldt did not intend to include Chuckanut, Samish, and the disputed portions of Padilla Bay within the U&A of the Suquamish Tribe. As the Court finds there to be no factual issues in dispute, summary judgment is appropriately entered in favor of the Upper Skagit Tribe.

⁵ The Upper Skagit has moved the Court to strike the exhibits containing the Suquamish's fishing regulations as both improperly filed upon reply and as irrelevant to the Court's Paragraph 25(a)(1) determination. Dkt. # 58. The Court agrees with the Suquamish that the Upper Skagit's Motion to Strike is procedurally improper. LCR 7(g) provides that a motion to strike material from a reply brief must be included within a surreply of no more than three pages, which must be filed within five days of the filing of the reply brief and preceded by a notice of intent to file a surreply. As the Upper Skagit failed to comply with all of these requirements, its Motion to Strike shall be denied and the exhibits shall remain part of the record in this subproceeding. Nonetheless, for the reasons stated herein, the Court agrees with the Upper Skagit that Suquamish's fishing regulations are not part of the record before Judge Boldt. The Court thus disregards them as evidence upon which the Court bases its clarification of his intent.

CONCLUSION

Having considered the arguments of the parties and orders from prior related subproceedings, and having reviewed the relevant evidence before Judge Boldt in the 1975 proceedings that led to the determination of the Suquamish U&A, the Court hereby finds and ORDERS as follows:

- 1) The Suquamish Indian Tribe's Motion for Summary Judgment (Dkt. # 37) is DENIED.
- 2) The Upper Skagit Indian Tribe's Motion for Summary Judgment (Dkt. # 38) is GRANTED.
- 3) The Upper Skagit's Motion to Strike Exhibits (Dkt. # 58) is DENIED.
- 4) As the Suquamish Indian Tribe's usual and accustomed fishing grounds and stations do not include the Disputed Areas at issue here (Samish Bay, Chuckanut Bay, and the northern portion of Padilla Bay), the Suquamish Indian Tribe is permanently enjoined from issuing regulations for and/or fishing in the waters of the Disputed Areas.
- 5) Judgment shall be entered in favor of the Upper Skagit Indian Tribe, and this subproceeding shall be CLOSED.

DATED this 3 day of June 2015.


RICARDO S. MARTINEZ
UNITED STATES DISTRICT JUDGE